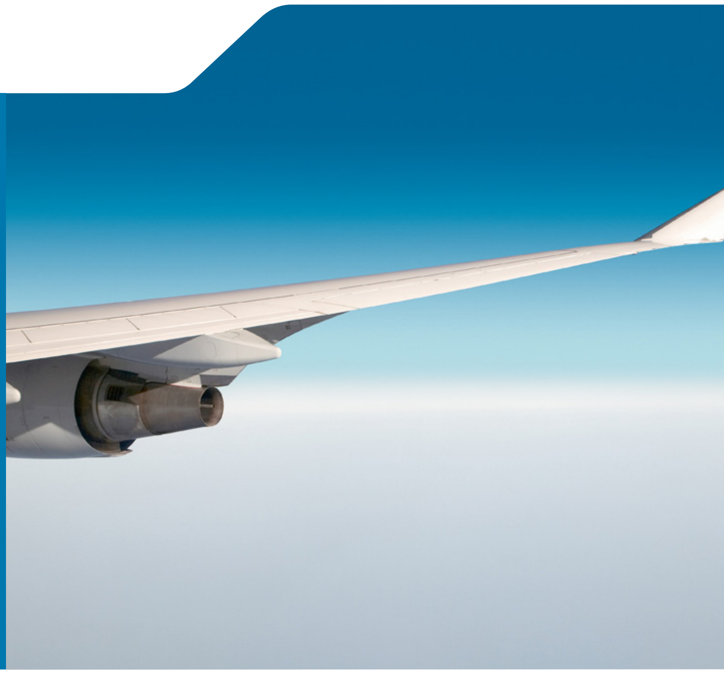
Financial Information

ImpaQt for wealth managers

Manage portfolio risks. Grow your clients' wealth. Achieve compliance.

ImpaQt empowers wealth managers with the tools and data to monitor client portfolios, give personalized, objective investment advice to clients, and comply with national and international regulations.



Gain a competitive advantage

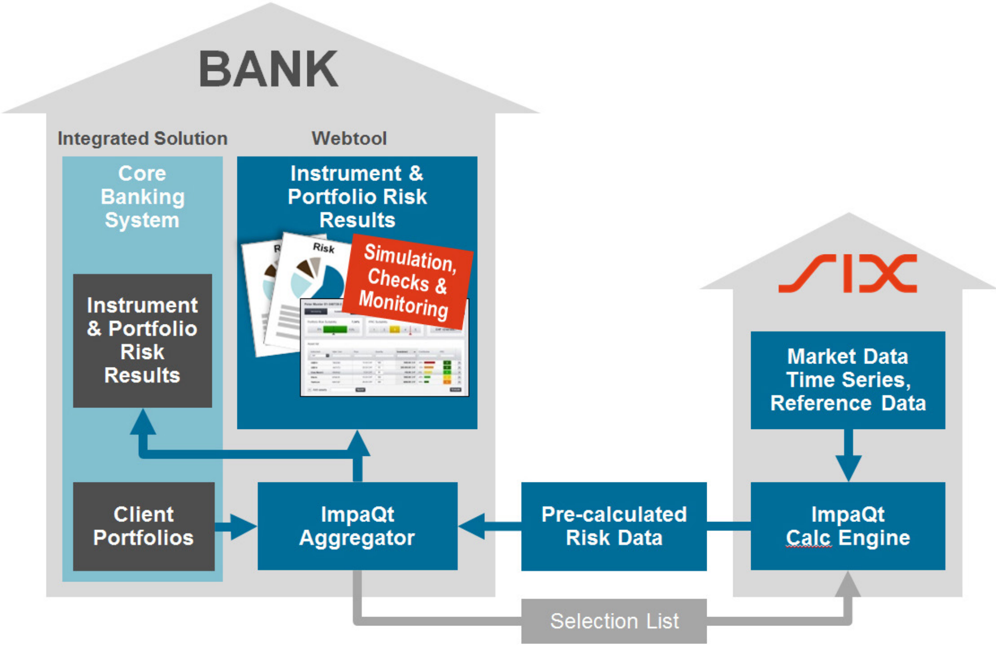
As the wealth management business faces stiffer competition and lower margins due to the increase of stringent regulations, wealth managers are having to innovate and differentiate in order to stay ahead of their competition. In being able to offer clients personalized, objective investment advice, develop portfolios tailored to each clients' individual risk tolerance and appetite, and ultimately monitor and manage portfolio risks efficiently, wealth managers have an advantage that is second to none.

Comprised of a single source of correct and complete data from SIX Financial Information and expert quantitative risk methods from swissQuant Group, ImpaQt is complete with an interactive interface that enables you to leverage investment suitability, portfolio monitoring, and on-the-spot risk management as your advantage.

Attain a complete overview of client portfolios

- Achieve continuous monitoring of client portfolios with automatic alerts
- Perform on-the-spot pre-trade suitability checks on instrument and portfolio levels
- Make swift comparisons on current and proposed portfolio risks, taking into consideration clients' risk appetite and tolerance
- Visualize historical investment strategies, stress scenarios, recovery periods and risk/return characteristics of investment scenarios
- Produce rapid reports so that clients can sign right-away.

Key benefits:
- Consolidate and centralize your advisory and investment management system
- Keep all client confidential data in-house, at your institution.
- Ensure clients are always well informed due to ongoing portfolio health checks
- Perform on-the-spot, reliable portfolio risk computations and receive instant results
- Visualize the drivers behind the exposure of portfolios
- View product risk classifications for every instrument
- Comply with MiFID I/II and FIDLEG



The ImpaQt aggregator enables on demand risk analytics, while keeping all client related data in-house.

Keep your client data secure

The vast majority of computations are performed on SIX servers and the relevant sensitivity, correlation and instrument risk data are transmitted one-way to your financial institution. The pre-calculated risk figures are then aggregated with portfolio information within the bank, and are available at your disposal. The main advantages of pre-calculating the risk figures at SIX are: confidentiality, as no client or portfolio data leaves your bank, and speed, as client portfolios can be recalculated on the spot in less than a second.

Advise with data you trust

The basis for the data coverage is SIX Financial Information's international securities coverage of over 15 million instruments and consists of market and reference data as well as historical prices and time series data. This substantiated data is used in the complex quantitative models for the risk calculations.

Increase transparency and fulfill regulatory requirements

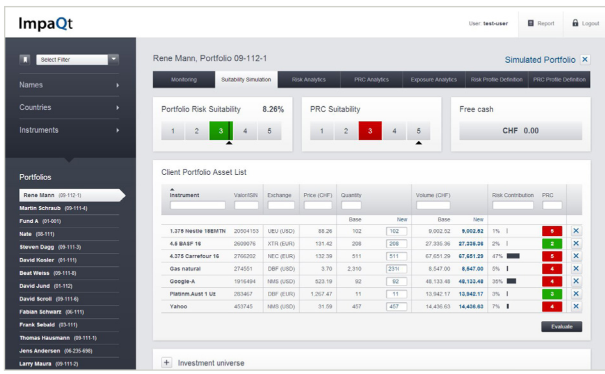
The combination of enriched market, historic and reference data from a single source, and risk models from quant experts help you to meet regulatory requirements with regards to client protection, including suitability checks for investments and risk disclosure in accordance with MiFID I/II and FIDLEG regulations.

In addition to reports, you can efficiently produce up-to-date, legally-compliant product sheets including PIBs, KIIDs and PRIIPs. This ensures your advice is objective, transparent, and makes it easy for clients to understand and compare financial products and the associated risks.

A strong and exclusive partnership

The partnership between SIX Financial Information and swissQuant Group provides the two core elements needed for successful risk management; complete, high-quality and accurate data, and proven quantitative risk modelling. This alleviates you from the complexities of data licensing, management and compliance efforts, whilst leveraging modern risk modelling and visualization technologies for easy interpretation of results.

With ImpaQt, you are fully equipped with a consolidated advisory and investment management system to focus on growing the wealth of your clients.



For more information, please contact your local SIX Financial Information office and a representative will be happy to assist you.

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