

The PIB and PRIIP service

Clear, transparent and legally compliant.

In today's highly complex markets, transparency is vitally important. The PIB and PRIIP service from SIX Financial Information gives your clients clear information about financial products, while meeting current statutory requirements. In particular, the service offers effective and efficient support for the document creation process.



Regulatory situation in the advisory business

Since July 2011, issuers of financial products and their distribution partners have been required by law to provide their investment advisory clients with Product Information Bulletins (PIBs) and Key Information Documents (KIDs) in the area of investment funds. Since June 2012, providers of closed-end funds have had to publish an Investment Factsheet (IF). Furthermore, at the end of 2014 a regulation was introduced to establish an EU-wide, Key Information Document (KID) for (almost) all small investors that offer banking, insurance, and fund products (PRIIP-Packaged Retail and Insurance-based Investment Products). This will come into force in January 2017. The aim is to explain financial products to investors in a clear and simple manner and allow them to compare alternative investment products more easily.

Legally compliant data and documents

Thanks to our unique database containing reference data, corporate actions, price and market data on millions of financial instruments, SIX Financial Information is the ideal partner to help you create legally compliant factsheets. Together with partners, SIX offers all the data and documents required for regulatory purposes:

- PRIIP-KID
- PIB
- UCITS-KID
- IF
- Original sales prospectuses
- Factsheets

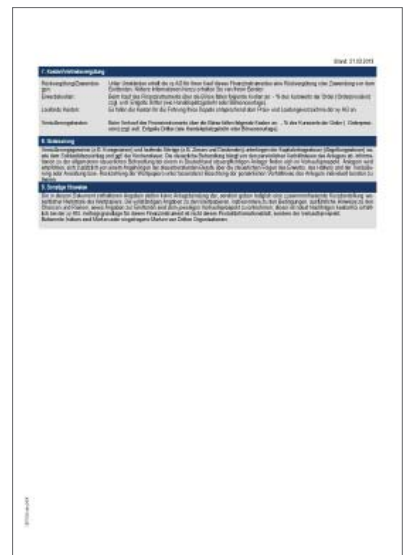
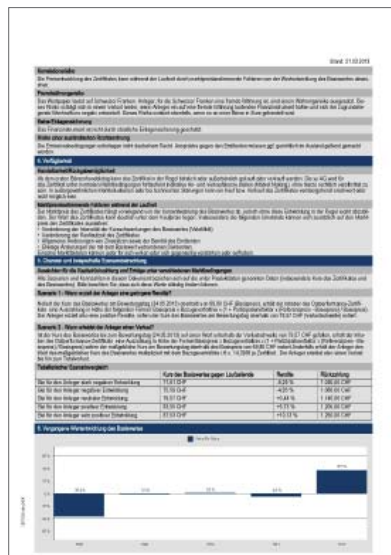
Automated and efficient reporting

SIX Financial Information offers efficient and flexible document management, through:

- Extensive automation of reports and factsheets (standard and OTC products)
- Customized and detailed view statistics
- 10-year archiving to ensure auditory compliance
- Highest security standards

Key benefits:

- PIBs and PRIIP-KIDs on over 5 million financial instruments and more than 110 asset classes
- Data, solution platform and advisory from a single source
- Fast, cost-efficient integration with the bank's own portals and external systems
- Full multi-client capability
- Compatible with ISIN, WKN and securities
- Multilingualism of the system
- Integration of the original KIDs, IFs and sales prospects issued by investment management companies
- Customized layout design
- Integration of customized data, categories, texts and internal documents



Example of a PRIIP-KID with a customized layout design.

Legally compliant, transparent and flexible

The PIB and PRIIP service from SIX Financial Information provides a legally compliant solution with a high level of coverage for your advisory activities. We offer factsheets on over 5 million financial instruments and more than 110 asset classes, including Eurex, OTC instruments and forward transactions.

We either integrate the solution directly into your systems or provide you with secure access via a web front-end. This allows you to provide your clients with all the latest documents quickly and efficiently at all times. Besides providing increased assurance for advisory meetings, you also benefit from considerable time and cost savings. If you wish, we can also design the documents in line with your specifications and your corporate design. The integration of customized text modules, costs and charges is also possible, as is the integration of internal documents and original KIDs, IFs and sales prospectuses issued by investment management companies.

	PIB	PRIIP
Legal basis	German Law WpHG, AnsFuG, WpDVerOV	European Law PRIIP Directive
Target group	German private investors and private investors in Germany	All private investors in Europe
Region/ language	German version in German	Country-specific version in at least one of the official languages in the distribution region
Structure	DK (ZKA), BVR, custom	Specific requirements likely
Responsibility	Distribution agent	Issuer + distribution agent
Scope	All financial instruments (securities) offered to private investors	All financial instruments offered to private investors that are structured or indirectly involve risk (including unit-linked life insurance, etc.)

For more information, please contact your local SIX Financial Information office and a representative will be happy to assist you.

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