



SIX Regulatory Document Generation Service

Create compliant pre-sales documents in all EEA languages on demand.

Create PRIIP-KIDs on demand from standardized templates and update them dynamically with plain-language terminology in any EEA language and near real-time risk calculations based on SIX's extensive reference data universe.

The challenge of creating KIDs for PRIIPs

EU-wide regulation requires financial institutions that manufacture Packaged Retail and Insurance-based Investment Products (PRIIPs) to create Key Information Documents (KIDs) that outline the risks and costs of the investment. The legislation requires financial instruments to be explained in plain language, in order to allow easier comparison between products. Manufacturers must follow strict guidelines in terms of design and content for the 3-page documents, and must make them available to a retail investor in their own language before a sale can take place.

Compliance for the entire product lifecycle

Creating KIDs is a substantial challenge. Manufacturers must juggle a variety of inputs that include regulatory-mandated text containers, risk and performance scenario calculations and cost breakdowns. Before a manufacturer can sell a PRIIP, they also need to consider their target markets and ensure that the documents are supported in the language of every one of these countries. Manufacturers must also constantly generate updated versions of the documents. A new KID must be issued every time a lifecycle event triggers a change, requiring complex calculations to update risk and performance scenarios. This can lead to significant infrastructure requirements for manufacturers. Finally, the updated KID needs to be made accessible to the retail network, requiring an efficient process so advisors can download them and remain compliant in the pre-sales phase.

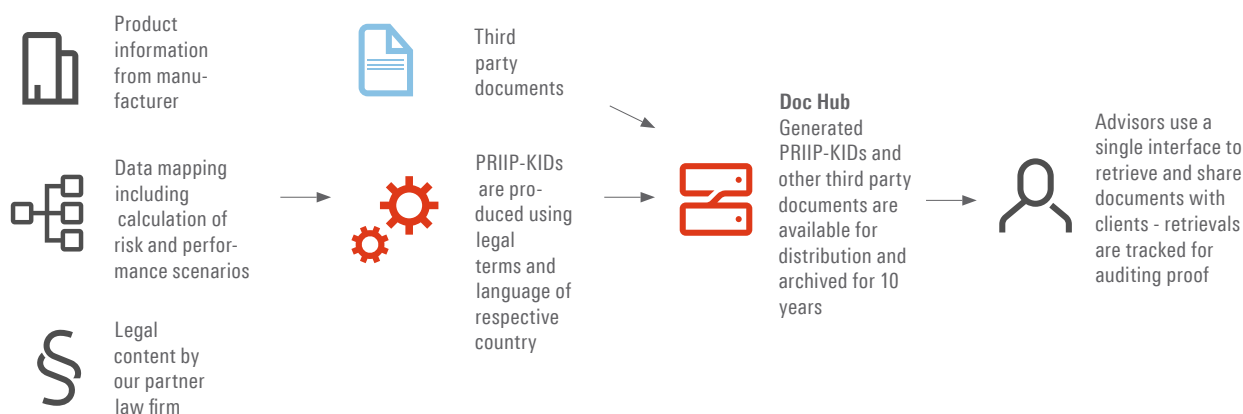
A full-service solution

SIX Financial Information has developed a regulatory document generation service to address the needs of sell-side institutions. The solution allows you to generate legally compliant KIDs on demand with automatic updating and distribution throughout the entire life cycle of a financial instrument. This innovative generation service reduces the cost and complexity of regulatory compliance by automatizing the generation and update process. Our partnership with a leading law firm guarantees compliant legal inputs in all EEA languages. SIX also works with a partner firm to carry out the complex calculations needed to produce summary risk indicators and performance scenarios.

Key benefits

- Save time with a solution that automatically generates KIDs and updates them dynamically throughout their lifecycle
- Remain compliant with product-specific templates that can be customized according to your own guidelines
- Maximize your market reach by being able to create KIDs in all EEA languages that can be easily retrieved by your distribution network
- Gain efficiencies by depending on SIX reference and market data inputs to calculate risk and performance scenarios
- Be well prepared for audit with 10 year storage and archiving of all document retrievals

A streamlined workflow to enable accurate and timely PRIIP-KID distribution



Automated document handling

SIX is a full-service provider offering fully automated document generation (including scenario calculation), maintenance, distribution, archiving and reporting throughout the life cycle of the financial instrument. Regulatory documents are generated based on product-specific templates and submitted reference data. Our own compliance experts, in collaboration with an established and well known legal partner, ensure that the correct regulatory documentation can be produced in all required languages across EEA member states.

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Create templates yourself using pre-defined building blocks, or use the SIX service.

- See what new documents look like by previewing new templates using existing data
- Use the template editor to adjust flexible parts such as fonts or look-and-feel
- Stay in control with user administration and a customisable template approval process
- Use entitlements to define via which channel the document should be available
- Map customer data for efficient on-boarding

Easy access and delivery

The SIX Regulatory Document Service can be integrated into your core banking systems or you can use a web interface to access, retrieve and send KIDs to clients. Automatic notification ensures that distributors are informed when a KID update is triggered by market events such as corporate actions or breach of barriers, etc.

Authoring legally compliant documents

Thanks to our unique database containing reference data, corporate actions, price and market data on millions of financial instruments, SIX is the ideal partner to help you create legally compliant documents required for regulatory purposes. Together with our carefully selected legal, calculation and software partners, we offer support for a wide range of regulatory document types:

- PRIIP-KID (Packaged Retail and Insurance-based Investment Products)
- FIDLEG-BIB (Base Information Bulletin)
- German PIB (Product Information Bulletin)
- UCITS -KIID (Undertakings for Collective Investment in Transferable Securities)

Why SIX?

SIX has over 85 years of expertise in the provision of high quality market and reference data. Thanks to a collaboration between in-house compliance experts and global partners, SIX ensures you receive comprehensive, high-quality compliance content and services. We cover over 60 national and international regulations. Over 70 clients trust SIX as a partner of choice for the creation and distribution of their PIBs for the German market.

For more information, please contact your local SIX Financial Information office, and a representative will be happy to assist you.

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