



# SIX Regulatory Document Hub for manufacturers

Distribute your regulatory documents with SIX for easy, convenient compliance.

Expand your retail network and streamline your distribution infrastructure by using a centralized platform to upload your regulatory documents to, ready for quick and easy retrieval by distributors.

## Regulatory documentation for investor protection

EU-wide investor protection reforms mean that manufacturers will be required to produce a range of standardized documents for retail consumers, to be made available in the pre-sale phase via their retail distribution network. These include PRIIP-KIDs and UCITS-KIIDS, sometimes in addition to documents required by existing national laws (like the German PIB).

## The document management challenge

Ensuring that buy-side institutions can access pre-sale documents in a timely and efficient fashion is a complex challenge for the sell-side. Manufacturers must generate and continually update KIDs during the product lifecycle, triggering a huge volume of documents that must be quickly made available to their retail advisory network to pass on to the end consumer. Retailers need to be able to download all necessary documentation for the products they sell, and must continually retrieve updated versions over the product lifecycle in order to remain compliant. Consequently, manufacturers who are able to provide a convenient, easy way to access regulatory documents will be preferred by buy-side distributors.

## Efficient regulatory document management

To support the industry, SIX has developed a platform that allows product manufacturers to upload pre-sale documents, making it easy for buy-side users to find and retrieve documents from a single source. The centralized download center for regulatory documents

is future-proofed to take account of all regulatory documents that will be required over the coming years e.g. MiFID II. Manufacturers that generate their own documents can expand their retail network by using a single, convenient tool to make it easy for retailers to access and download regulatory documents including PRIIPs, PIBs, UCITs and others.

A standardized API is available and the service can provide not only PDFs but also document meta data (like jurisdiction, language and creation date) and PDF content data (like summary risk indicator, cost details and investment horizon) to align with your efforts to prepare for upcoming MiFID II requirements.

### Key benefits

- Expand your retail network by uploading ready-prepared documents to a single platform
- Benefit from economies of scale by eliminating the need for custom interfaces and processes for each buy-side client / distributor
- Gain efficiencies by using a simple entitlement system to define which distributors can get which documents for which instruments
- Future-proof your document management with a central hub that can accommodate a wide variety of document types (e.g. PRIIP-KID, UCITS-KIID, FIDLEG BIB) and regulatory documents for future regulations

For more information, please contact your local SIX Financial Information office, and a representative will be happy to assist you.

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